



UPR RP LA IUPI

Aspectos éticos de la publicación científica

Snejanka Penkova

Biblioteca de Administración de Empresas/ Sistema de Bibliotecas

Purísima Centeno

Centro de Información y Tecnología (CITEC)/ Facultad de Ciencias Naturales

Organizadores:

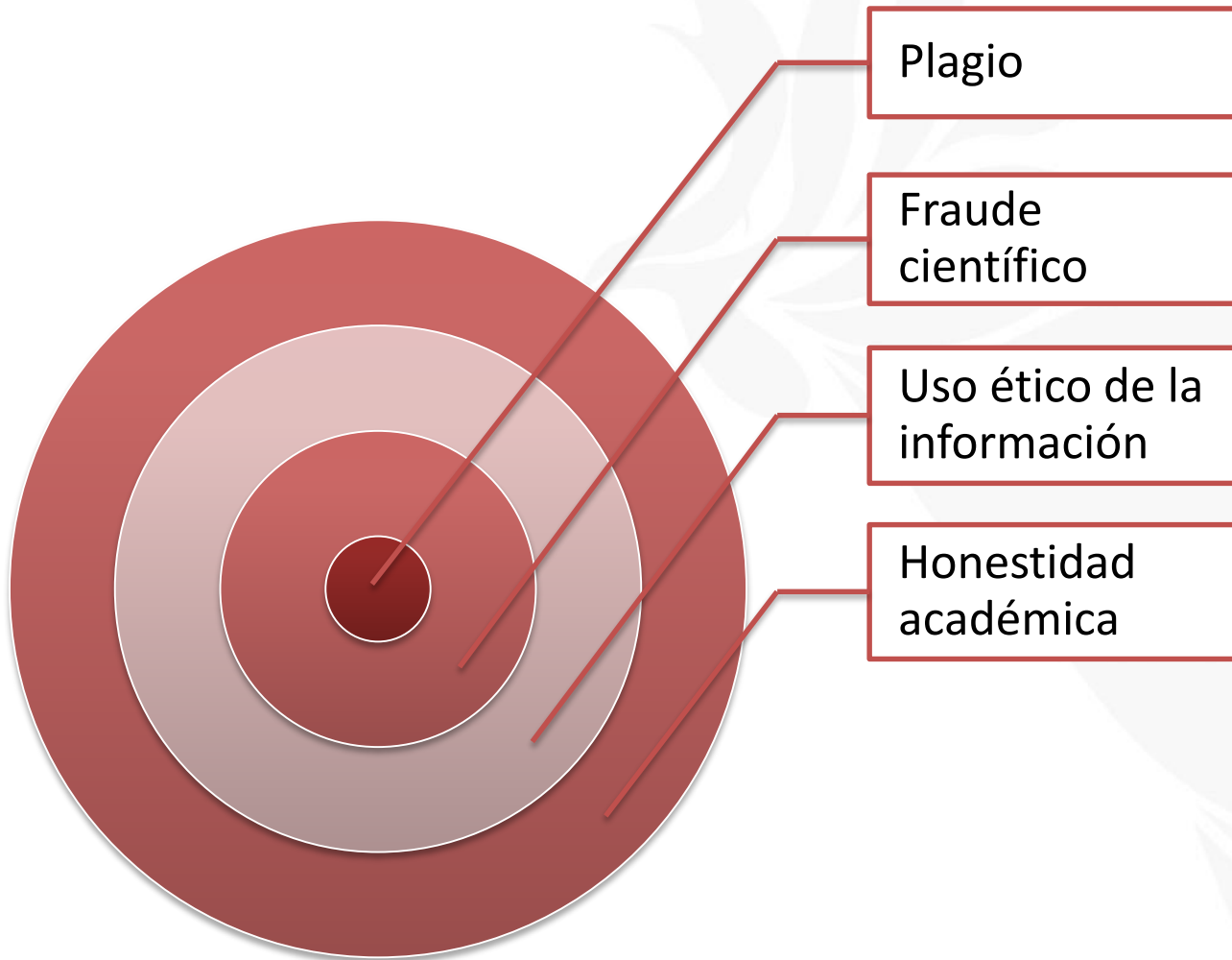
Centro Coordinador Latindex (Puerto Rico), Centro de Excelencia Académica (CEA), UPR Río Piedras, Vicepresidencia de Asuntos Académicos, Universidad de Puerto Rico



Temas a tratar

- Los conceptos de ética e integridad académica, ética en la diseminación de la investigación
- Los organismos y documentos institucionales relevantes
- Instancias y disposiciones internacionales y de organizaciones profesionales
- Los participantes en los proceso de publicación
- Las responsabilidades de los autores, editores, evaluadores, sponsors
- Buenas y malas practicas en la publicación científica
- Ejemplos y casos importantes





¿Por qué es importante hablar sobre el tema?

<https://www.youtube.com/watch?v=Hsb9LUmKL3w>

<https://www.youtube.com/watch?v=tltsmn7najc>

“La publicación científica es la practica más extendida para compartir los resultados de la investigación.”

(Delgado López- Cózar, E., 2001)

Sin embargo, una publicación en una revista científica puede no asegurar que lo difundido proceda de investigaciones solventes, autores responsables, evaluadores competentes y editores imparciales... La existencia de un código ético manifiesta la concienciación, asegura la prevención del fraude científico y orienta sobre el procedimiento en casos de mala praxis.”

(Tur-Viñes, V., Fonseca-Mora, M.C. & Gutiérrez-San-Miguel, B., 2012)



FAPESP presentó en el evento el "Código de Buenas Prácticas Científicas", cuyo principio fundamental es definir que todo investigador debe ejercer su profesión de la manera más apropiada para que resulte en la mejor contribución para el avance de la ciencia. El manual consolida el consenso de que la comunidad científica y sus instituciones deben auto-regularse y establecer sus propios códigos de conducta, basados en una estrategia de tres pilares: (a) educación; (b) prevención; (c) investigación de malas prácticas y sanciones justas. Para lograr estos objetivos, las instituciones deben promover regularmente actividades educativas sobre los valores y competencias pertinentes a la ética de la investigación.

El manual de FAPESP define (p. 15) que se considera actividad científica toda actividad enfocada directamente con la concepción y realización de investigaciones científicas originales, la comunicación de sus resultados, la interacción entre los investigadores y las instituciones, y la orientación o supervisión de los procesos de formación de investigadores. Esta definición se aplica a las ciencias exactas, naturales y humanas, así como a las disciplinas tecnológicas asociadas.

La mala práctica científica puede adoptar muchas formas. Entre las que se destacaron en el evento podemos mencionar: falsificación de datos, manipulación de resultados, plagio (de texto, de ideas, de resultados), *ghostwriting*, publicaciones duplicadas (salami), conflicto de intereses, manipulación de autores, etc.

Como base para el Código de Buenas Prácticas Científicas se tomaron como modelos los códigos de conducta y manuales de procedimiento adoptados por las agencias internacionales de financiación, mencionando entre otras a la *National Science Foundation*, los *National Institutes of Health*, de Estados Unidos, el código de conducta de los *Research Council, UK*, del Reino Unido, y el código de conducta de la *European Science Foundation*.

El documento de FAPESP no trata de las cuestiones relativas a la honestidad personal ni a la gestión de recursos financieros, ni las acciones relacionadas con la bioética como ser la preservación de la biodiversidad, la preservación del medio ambiente y la salud pública. El objetivo principal del Código de Buenas Prácticas Científicas es establecer directrices para las actividades científicas como se detallan los capítulos 3 al 6.

El capítulo 3 del código de FAPESP analiza en detalle la aplicación de los valores fundamentales y las diferentes dimensiones de la actividad científica, dando atención a temas como:

- La concepción, propuesta y realización de la investigación (originalidad y relevancia);
- La comunicación de los resultados (plagio, autoría, *salami*);

sin ella
La Elsevier que usted conoce no es la única Elsevier
Gestión online de manuscritos es un criterio de indexación obligatorio de SciELO

Comentarios recientes

- SciELO on La revisión por pares como objeto de estudio
- SciELO on Revisión por pares: mala con ella, peor sin ella
- SciELO on La revisión por pares como objeto de estudio
- SciELO on Revisión por pares: mala con ella, peor sin ella
- SciELO on Inequidad de género en ciencia varía entre disciplinas

Archivo

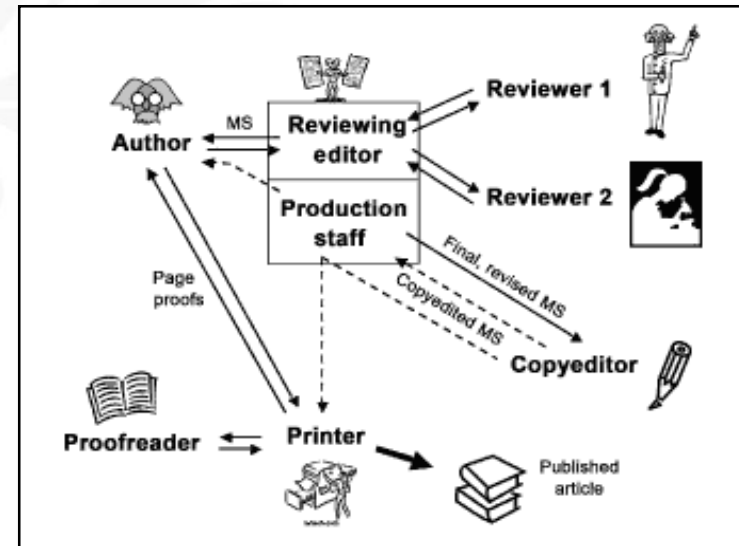
- April 2015
- March 2015
- February 2015
- January 2015
- December 2014
- November 2014
- October 2014
- September 2014
- August 2014

Ver los enlaces al final


<http://blog.scielo.org/es/2014/09/10/etica-editorial-buenas-y-malas-practicas-cientificas/>

Los participantes en el proceso de publicación

- Los autores
- Los editores
- Los pares evaluadores (árbitros)
- Los auspiciadores
- Los publicadores
- Los lectores



https://www.nii.ac.jp/sparc/en/publications/newsletter/7/img/7_toku2_ph1.gif



Ética de la
investigación
científica

Ética de la
publicación
de sus
resultados



Integridad de la investigación

“Según el *National Research Council of the National Academies*, la integridad en la investigación puede definirse como una serie de buenas prácticas que incluyen:

- Honestidad intelectual para proponer, ejecutar y presentar los resultados de una investigación.
- Detallar con precisión las contribuciones de los autores a las propuestas de investigación y/o sus resultados.
- Ser justo en la revisión de artículos científicos (proceso de revisión por pares o *peer review*).
- Favorecer la integración entre las distintas comunidades científicas y el intercambio de recursos.



Integridad de la investigación...

- Transparencia en los conflictos de interés.
- Protección de las personas que intervienen en las investigaciones.
- En la investigación animal, proporcionar el cuidado adecuado de los animales con los que se lleva a cabo los estudios.
- El cumplimiento de las responsabilidades mutuas entre los investigadores y los participantes de una investigación.”

(Avanzas, P., Bayes-Genis, A., Pérez de Isla, L., Sanchis, J. & Heras, M., 2011)



Ética en la publicación científica en la UPR y en el Recinto de Río Piedras

- Organismos responsables
- Disposiciones y documentos institucionales

Política Institucional sobre Derechos de Autor:
Certificación 93-140

http://graduados.uprrp.edu/images/pdf/Cert_093_140_1993_94_CES.pdf

Política Institucional sobre Patentes, Invenciones
y su Comercialización (2003)

http://graduados.uprrp.edu/images/pdf/Cert_132_2002_03_JS.pdf



Los organismos y documentos internacionales relacionados

- International Ethical Principles for Scholarly Publication

[http://www.stm-
assoc.org/2013_05_21_STM_Ethical_Principles_for_Scholarly_Publication.pdf](http://www.stm-
assoc.org/2013_05_21_STM_Ethical_Principles_for_Scholarly_Publication.pdf)

- Committee on Publication Ethics (COPE)
- Council of Science Editors (CSE)
- International Committee of Medical Journal Editors (ICMJE)
- World Association of Medical Editors (WAME)
- Comité de Ética del Consejo Superior de Investigaciones Científicas (CSIC) - España, etc.



Las buenas prácticas en la publicación académica

- Council of Science Editors (CSE) (<http://www.councilscienceeditors.org/>)
<http://www.councilscienceeditors.org/resource-library/editorial-policies/white-paper-on-publication-ethics/>
- Committee on Publication Ethics (CORE) Code of Conduct Guidelines (<http://publicationethics.org/resources/code-conduct>)
- Directory of Open Access Journal Principles of Transparency and Best Practice in Scholarly Publishing (<https://doaj.org/bestpractice>)
- Resources for Research Ethics Education (<http://research-ethics.net/topics/publication/>)
- ICMJE (<http://www.icmje.org/>) <http://www.icmje.org/icmje-recommendations.pdf>
- National Institute for Health (NIH) (https://oir.nih.gov/sites/default/files/uploads/sourcebook/documents/ethical_conduct/guidelines-conduct_research-6_11_07.pdf)
- Publishing Ethics Resource Kit (PERK) de Elsevier



- » SCIENCE OF PSYCHOLOGY
 - Research Funding
 - Research Tools & Methods
 - Responsible Conduct of Research
 - Collaborative Science
 - Conflicts of Interests and Commitments
 - Data Acquisition, Management, Sharing and Ownership
 - Human Research Protections
 - Lab Animal Welfare
 - Peer Review
 - Publication Practices & Responsible Authorship
 - Research Misconduct
 - Responsible Mentoring of Researchers
 - Publishing Research
 - Research in Action

Publication Practices & Responsible Authorship

Although researchers can disseminate their findings through many different avenues, results are most likely to be published in an article in a scholarly journal. Accurate and honest reporting of research methodologies and results are the basis of all scientific publications. Researchers should avoid dividing a project into "least publishable units," which misinforms the public on the importance and value of the research, and wastes time and money. Researchers should also avoid publishing duplicate studies, a practice that also unfairly represents the importance of the research.

Authorship credit should reflect the individual's contribution to the study. An author is considered anyone involved with initial research design, data collection and analysis, manuscript drafting, and final approval. However, the following do not necessarily qualify for authorship: providing funding or resources, mentorship, or contributing research but not helping with the publication itself. The primary author assumes responsibility for the publication, making sure that the data are accurate, that all deserving authors have been credited, that all authors have given their approval to the final draft, and handles responses to inquiries after the manuscript is published.

Guidance

- Responsible Conduct Regarding Scientific Communication — Society for Neuroscience
- The Responsible Conduct of Research, Including Responsible Authorship and Publication Practices (PDF, 112KB) — Ruth Ellen Bulger
- Comments on Bulger (above) (PDF, 97KB) — Henk van den Belt
- Publication ethics: rights and wrongs — (Ritter, S. K., 2001)
- On Being a Scientist: Publication and Openness — NAS
- On Being a Scientist: Authorship and the Allocation of Credit — NAS
- Reflections on Determining Authorship Credit and Authorship Order on Faculty-Student Collaborations (PDF, 808KB) — (Fine & Kurdek, 1993)
- Authorship and Publication — ORI

Resources

- Online Ethics Center: Responsible Authorship — Case Western Reserve University

APA Resources

- A Graduate Student's Guide to Determining Authorship Credit and Authorship Order

Publishing Ethics Resource Kit (PERK)

The Publishing Ethics Resource Kit (PERK) is an online resource to support journal editors in handling publishing ethics issues. It is a single point of access for information and guidelines on publishing ethics. PERK provides flowcharts to guide editors through processes required to deal with different forms of publishing ethics abuse. Furthermore, it includes form letters to adapt and use for various situations, [PERK Q&A information](#) and much more. For more information on this resource kit and how it works, please see [Why PERK?](#) and [How PERK works](#).

- More resources for editors are available from [COPE](#) (Committee on Publication Ethics), including an eLearning program on how to handle and prevent misconduct.
- [Read more](#) about Elsevier & COPE.
- On the [Elsevier Publishing Campus](#) in the [Ethics in Research & Publication](#) section young researchers are offered advice on how to avoid misconduct and recommended reading about research and publication ethics. The program is a collaboration of an independent panel of experts in research and publishing ethics and Elsevier.
- Read more about [Elsevier's policies](#) on: [Article withdrawal](#), [sharing articles](#), [patient consent](#) and [research data](#).

Feedback

Book authors

Journal authors

Training resources ↗

Author services

Publishing with Elsevier: step-by-step

1 Find a journal

3 Submit and revise

5 Proofing and licensing

2 Prepare your paper

4 Track your submission

6 Sharing and promoting

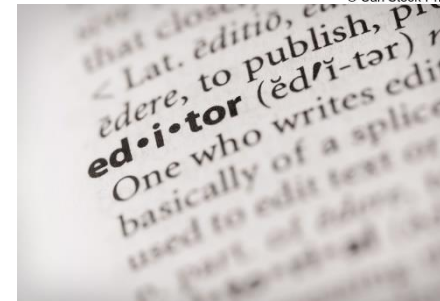
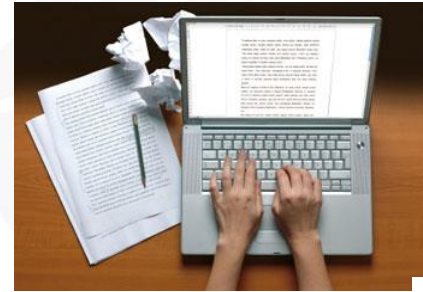
1. Find a journal

Find a journal by paper title and abstract
Elsevier® Journal Finder

Find by journal title

Asuntos éticos que se consideran en la publicación científica

- Autoría y colaboración
- Originalidad de la investigación
- Plagio
- Conflicto de intereses
- Confidencialidad
- Información privilegiada
- Transparencia
- Decisiones para publicación
- Rapidez
- Objetividad
- Independencia de los editores, etc.



Council of Science Editors (CSE) White Paper on Publication Ethics

White Paper on Publication Ethics (2012)

<http://www.councilscienceeditors.org/resource-library/editorial-policies/white-paper-on-publication-ethics/>



Responsabilidades de los autores

- ¿Quién se considera autor?
- ¿Cuáles son sus responsabilidades?
- Malas prácticas en la autoría
- Crosscheck

<http://www.crossref.org/crosscheck/index.html>





crosscheck

WHAT IS CROSSCHECK?

CrossCheck powered by iThenticate is an initiative started by CrossRef to help its members actively engage in efforts to prevent scholarly and professional plagiarism. Although there are several plagiarism screening tools already available, they are not well-suited to filtering academic content simply because they haven't had access to the relevant full-text literature to screen against. CrossCheck changes this by creating and continuously growing a database of current and archival scholarly literature.



This database is one of two parts that make up the CrossCheck service. The second part is the iThenticate tool, which compares authored work against the content in the database and highlight matching or similar text for further editorial review.

LOG IN

Existing CrossCheck members may [log into CrossCheck/iThenticate](#).

CROSSCHECK IN THE NEWS

[Read articles](#) about CrossCheck and its implementation at member organisations.

CROSSCHECK/ITHENTICATE WEBINARS

Introduction to CrossCheck Webinar

The webinar is an informal 30 minute presentation about our innovative originality screening service and how it can add value to your publishing program. Listen to a [pre-recorded Introduction to CrossCheck webinar](#) with slides.

CrossCheck iThenticate Demo

For a more detailed tour of the iThenticate document checking software that is central to CrossCheck, please join one of our upcoming demos. This hour long session will guide you through the iThenticate interface and is ideal if you are considering the service, or if you have already signed up and want to know more about the features available to you.



Upcoming Events

CSE 2015 ANNUAL MEETING »

EDITOR-IN-CHIEF
ROUNDTABLE DISCUSSION
EVENT »

2.2 Authorship and Authorship Responsibilities

Trust is fundamental to scientific communication: trust that the authors have accurately reported their methods and findings, trust that authors have disclosed all potential conflicts of interest, and trust that editors have exercised sufficient diligence to ensure accurate reporting and disclosure by authors. Unfortunately, problems with authorship are not uncommon and can threaten the integrity of scientific research.⁴ With the aim to decrease such problems, this section focuses on principles to guide authorship-related decisions, policies, practices, and responsibilities.

2.2.1 Authorship

Authors are generally defined as persons who have contributed sufficiently to a scientific report to be listed on the byline of the published report. Many journals provide guidelines on authorship in their instructions for authors. Some professional and research funding organizations and academic institutions also provide such guidance. Principles, customs, and practices regarding authorship differ from one scientific discipline to another. This document aims to summarize common principles to guide authorship across scientific disciplines.

Principles related to authorship with general consensus include the following:

- Identification of authors and other contributors is the responsibility of the people who did the work (the researchers) not the people who publish the work (editors, publishers).

PMC full text: [Am J Physiol Cell Physiol. 2008 Sep; 295\(3\): C567–C575.](#)
doi: [10.1152/ajpcell.00208.2008](#)
[Copyright/License ►](#) [Request permission to reuse](#)

Table 3.

Requirements and responsibilities of coauthors

Author Category	Contribution and Responsibility to the Work and Publication
First author	Fulfills ICMJE authorship criteria. Performs bulk of the experimental work.
Senior author	Fulfills ICMJE authorship criteria. Typically the last person on an authorship list. Directs, oversees, and guarantees the authenticity of the work. Takes responsibility for the scientific accuracy, valid methodology, analysis, and conclusions of all work described in the paper. Able to explain all of the results described in the paper.
Corresponding author	Fulfills ICMJE authorship criteria. Typically assumed by the first or senior author. Communicates with editors and readers. Provides specific information on the contributions of all coauthors to the paper. Ensures that all authors are aware of and approve the submission of the manuscript, its content, authorship, and order of authorship.
Middle/contributing author	Fulfills ICMJE authorship criteria. Contributions do not rise to those of first or senior author. Order of middle/contributing authors should reflect their relative contributions to the paper.

<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC2544445/>

Am J Physiol Cell Physiol
Am J Physiol Cell Physiol

Según V. Tur-Viñes, M.C. Fonseca- Mora y B. Gutiérrez-San-Miguel (2012) la responsabilidad moral y ética de los autores implica:

- Consistencia y fiabilidad en la investigación
- Honestidad
- Originalidad
- Transparencia con las fuentes de financiación de la investigación
- Responsabilidad; y además se puede agregar
- Acceso y retención a los datos (*raw data*)



Conducta no ética de los autores

- Autoría no merecida o abusos con la autoría:
 - autoría coercitiva
 - autoría honoraria, invitada o regalada (*“honorary, guest or gift authorship”*) – ejemplos: “Darsee affair”, Robert Slusky, “Korean stem scandal” - Dr. Gerald Schatten (<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC2544445/>)
 - autoría anónima y autoría grupal, autor fallecido o incapacitado

<http://www.councilscienceeditors.org/resource-library/editorial-policies/white-paper-on-publication-ethics/2-2-authorship-and-authorship-responsibilities/#222>

- Publicación múltiple, redundante o concurrente



considerations (some would not meet basic journal authorship criteria and byline space may preclude such a listing), authors need to think about how to communicate credit and responsibility for content. The editors of *JAMA* have outlined 2 group authorship models:¹⁷

- Authorship in which each person in the group meets authorship criteria, in which case the group is listed as the author, with the caveat that editors may require at least 1 coauthor to assume the role of content guarantor.
- Authorship in which a select subgroup of the whole is listed in the byline on behalf of the whole.

Deceased or Incapacitated Authors. For cases in which a coauthor dies or is incapacitated during the writing, submission, or peer-review process, coauthors should obtain disclosure and copyright documentation from a familial or legal proxy.¹⁷

2.2.3 Acknowledgments

In an Acknowledgments section, authors may wish to include the names and contributions of those whose involvement in a study did not qualify them for authorship or, because of journal policy on the number of authors in the author byline, cannot be included in the author byline. An example of this would be technical laboratory or writing assistance; the specific contribution should be noted. Authors should have each person listed in the acknowledgment sign a disclosure form or other statement acknowledging that they agree to have their names appear. Those acknowledged should disclose potential conflicts of interest.

2.2.4 Order of Authors





PMC full text: [Am J Physiol Cell Physiol. 2008 Sep; 295\(3\): C567–C575.](#)
doi: [10.1152/ajpcell.00208.2008](#)
[Copyright/License ▶](#) [Request permission to reuse](#)

Table 1.

Types and descriptions of authorship abuse

Type of Authorship Abuse	Description
Coercion authorship	Use of intimidation tactics to gain authorship. Arguably a serious form of scientific misconduct (see Ref. 29).
Honorary, guest, or gift authorship	Authorship awarded out of respect or friendship, in an attempt to curry favor and/or to give a paper a greater sense of legitimacy.
Mutual support authorship	Agreement by two or more investigators to place their names on each other's papers to give the appearance of higher productivity.
Duplication authorship	Publication of the same work in multiple journals.
Ghost authorship	Papers written by individuals who are not included as authors or acknowledged.
Denial of authorship	Publication of work carried out by others without providing them credit for their work with authorship or formal acknowledgment. A form of plagiarism and therefore scientific misconduct.

PMC full text: [Am J Physiol Cell Physiol. 2008 Sep; 295\(3\): C567–C575.](#)
doi: [10.1152/ajpcell.00208.2008](#)
[Copyright/License](#) ▶ [Request permission to reuse](#)

Table 4.

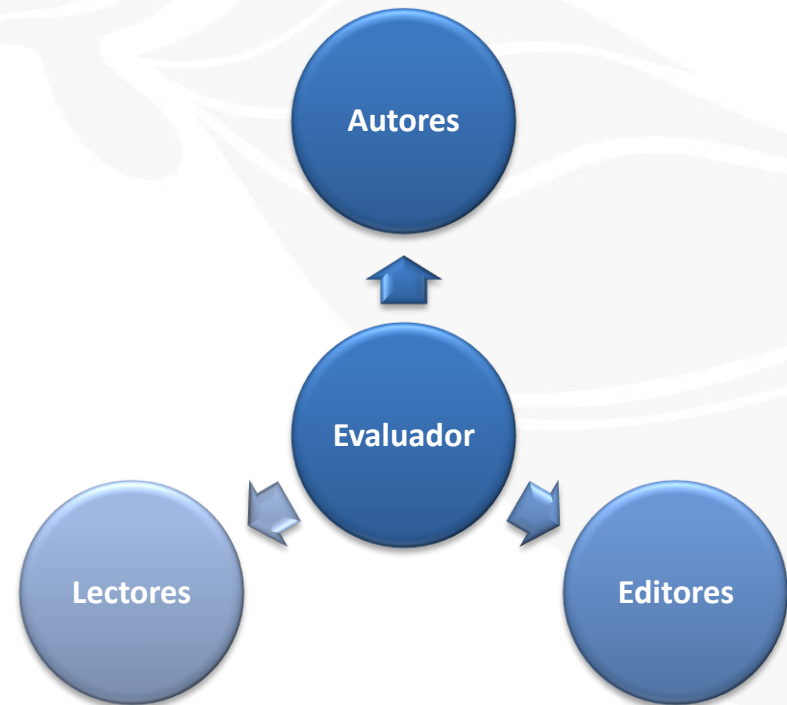
Recommendations for minimizing and resolving authorship disputes

1. All research institutions, journals, and scientific societies should have in place formal authorship policies. The threshold for authorship on a scientific paper should be a direct and significant intellectual contribution to the study. All authors should have contributed to the writing of the manuscript. At a minimum, each author should have written at least the portion of the manuscript in which his/her contribution is discussed and should be able to take public responsibility for that contribution.
2. All research institutions should have in place a well-recognized mechanism for addressing authorship disputes that cannot be resolved by the authors themselves. Authorship dispute resolution committees should comprise both senior and junior investigators and should be free from all real and perceived conflicts of interest.
3. Research institutions should never be allowed to be decision making bodies in authorship disputes. The role of the institution is to provide a fresh set of eyes on the problem and to assist the individuals involved in the dispute to arrive at an ethical and professional solution.
4. Authorship dispute resolution committees should have the authority to recommend that disciplinary action be pursued if clear evidence of abusive authorship practices is uncovered. At a minimum, individuals who abuse authorship should be required to satisfactorily complete a bioethics course. "Coercion authorship" and "denial of authorship" (see [Table 1](#)) should be treated as scientific misconduct and be referred to appropriate institutional bodies for further investigation and disciplinary action.
5. All letters of submission accompanying manuscripts should include an authorship verification statement that is signed by each coauthor and that describes his/her specific contributions.
6. The specific roles of all coauthors should be included in the published article. Deliberate falsification of the description of coauthor contributions should be viewed as scientific misconduct.
7. Every effort should be made to avoid authorship problems from the outset. Authorships should be negotiated and defined in writing at the beginning of an investigation. Frequent communication between all coauthors should occur while investigations are ongoing. Authorship should be discussed regularly and redefined in writing if necessary.

<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC2544445/>

Responsabilidades de los evaluadores

- Carácter voluntario
- Especialización y competencia
- Objetividad
- Imparcialidad e integridad
- Crítica constructiva
- Confidencialidad
- Declaración de conflicto de intereses
- Rapidez



(V. Tur-Viñes, M.C. Fonseca- Mora y B. Gutiérrez-San-Miguel, 2012)

Responsabilidades de los editores

- Libertad editorial
- Confidencialidad
- Actuación en casos de conflicto de intereses
- Coordinación del trabajo del comité editorial
- Gestión editorial y cuidado de los historiales académicos
- Preservación de los derechos (*copyright*)

(V. Tur-Viñes, M.C. Fonseca- Mora y B. Gutiérrez-San-Miguel, 2012)



Conducta no ética

5.2. Ética de los editores

- Conflicto de interés
- Citación coercitiva

<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3673599/>

http://admin-apps.webofknowledge.com/JCR/static_html/notices/notices.htm

Informe APEI. (2013).

<http://www.apei.es/wp-content/uploads/2013/11/InformeAPEI-Publicacionescientificas.pdf>

El problema de los editores es encontrar artículos innovadores e interesantes, para publicar. A medida que la revista sea más conocida y esté indizada en las grandes bases de datos la facilidad de recibir buenos artículos aumenta, hasta el punto de que el problema puede llegar a ser cómo seleccionar y desechar el exceso, los menos buenos.

Si la revista consigue ser indizada por *Scopus* (de Elsevier) o los *Science citation index* (WoS de Thomson Reuters), dispondrá de su SJR (*Scimago journal rank*) o su IF (*Impact factor*), y su preocupación pasará a ser cómo aumentar esos índices de calidad para destacar frente a las otras revistas de la misma temática. Ahí podemos encontrar una de las argucias de algunos editores: intentar añadir citas a artículos publicados en la revista, a las bibliografías de los artículos en vías de publicación. Las autocitas (citas a trabajos publicados en la propia revista en la terminología de la bibliometría) computan en el cálculo del IF (aunque no del SJR). Sin embargo estas prácticas pueden tener un alto coste para la revista ya que *Thomson Reuters* ha adoptado la política de expulsar de los *Journal Citation Reports* todas aquellas revistas con tasas de autocitación elevadas y engordadas artificialmente. Por ejemplo en los JCR de 2011 se han expulsado 50 revistas por este tipo de razones.

http://admin-apps.webofknowledge.com/JCR/static_html/notices/notices.htm

Parece que *Thomson Reuters* tolera niveles de autocitación más altos en revistas en idioma no-inglés y áreas con pocas revistas, como puede ser por ejemplo *Communication + Cultural studies + Information science & library science + Sociology* (que suman sólo 320 revistas) –comparado con el conjunto de las de medicina que con todas sus especialidades alcanzan las 3.330.

Rank	Abbreviated Journal Title (click for journal information)	ISSN	Total Citations	Impact Factor	5-Year Impact Factor	Journal's Index	Cited Articles	Cited Half	Eigenfactor® Score	Article Influence Score
1	CAJONCEJLJLN	0007-6152	10876	181.386	67.419	21.267	29	3.6	0.04380	34.536
2	NEWENGLJMED	0028-4792	210668	53.258	58.875	11.484	249	7.8	0.06360	31.364
3	AMERJCLJPHYS	0037-7881	15949	42.361	42.965	9.336	23	8.2	0.05049	23.423
4	NEUROSCIENGL	0472-1472	29222	39.123	42.508	6.580	86	5.1	0.17017	23.881

Figura 16. Obstrucción que el máxi

Los auspiciadores

Almost 75% of U.S. [clinical trials](#) in medicine are paid for by private companies.³ And, of course, some researchers today still fund small-scale studies out of their own pockets. Most of us can't afford to do cyclotron research as a private hobby, but birdwatchers, scuba divers, rockhounds, and others can do real research on a limited budget.



A section of the magnet alignment for the Large Hadron Collider (LHC) particle accelerator. Construction of the LHC cost billions of dollars. By contrast, studying birds in the field can cost just pennies.

An imperfect world

In a perfect world, money wouldn't matter — all scientific studies (regardless of funding source) would be completely [objective](#). But of course, in the real world, funding may introduce biases — for example, when the backer has a stake in the study's outcome. A pharmaceutical company paying for a study of a new depression medication, for example, might influence the study's design or interpretation in ways that subtly favor the drug that they'd like to market. There is [evidence](#) that some biases like this do occur. Drug research sponsored by the pharmaceutical industry is more likely to end up favoring the drug under consideration than studies sponsored by government grants or charitable organizations.⁴ Similarly, nutrition research sponsored by the food industry is more likely to end up favoring the food under consideration than independently funded research.⁵

- Find out more about [the tobacco industry's manipulation of scientific research on the Public Health Reports website](#).

So what should we make of all this? Should we ignore any research funded by companies or special interest groups? Certainly not. These groups provide invaluable funding for scientific research. Furthermore, science has many safeguards in place to catch instances of bias that affect research outcomes. Ultimately, misleading results will be corrected as science proceeds; however, this process takes time. Meanwhile, it pays to scrutinize studies funded by industry or special interest groups with extra care. So don't, for example, brush off a study of cell phone safety just because it was funded by a cell phone manufacturer — but do ask some careful questions about the research before jumping on the bandwagon. Are the results consistent with other independently funded studies? Does the study seem fairly designed? What do other scientists have to say about this research? A little scrutiny can go a long way towards identifying bias associated with funding source.



http://undsci.berkeley.edu/article/0_0_0/who_pays



COPE Code of Conduct

Editors have a duty to act if they suspect misconduct. This duty extends to both published and unpublished papers.

Editors should not simply reject papers that raise concerns about possible misconduct. They are ethically obliged to pursue alleged cases.

Editors should first seek a response from those accused. If they are not satisfied with the response, they should ask the relevant employers or some appropriate body (perhaps a regulatory body) to investigate.

Editors should follow the COPE flowcharts where applicable (link to flowcharts).

Editors should make all reasonable efforts to ensure that a proper investigation is conducted; if this does not happen, Editors should make all reasonable attempts to persist in obtaining a resolution to the problem. This is an onerous but important duty.

Ensuring the integrity of the academic record

Whenever it is recognised that a significant inaccuracy, misleading statement or distorted report has been published, it must be corrected promptly and with due prominence.

If, after an appropriate investigation, an item proves to be fraudulent, it should be retracted. The retraction should be clearly identifiable to readers and indexing systems.

Relations with journal owners and publishers.

The relationship of Editors to publishers and owners is often complex but should in each case be based firmly on the principle of Editorial independence. Notwithstanding the economic and political realities of their journals, Editors should make decisions on which articles to publish based on quality and suitability for readers rather than for immediate financial or political gain.

Commercial considerations

Editors should have declared policies on advertising in relation to the content of the journal and on processes for publishing supplements.

Misleading advertisements must be refused, and Editors must be willing to publish criticisms, according to the same criteria used for material in the rest of the journal.

Reprints should be published as they appear in the journal unless a correction is to be added.

Conflict of interest

Editors should have systems for managing their own conflicts of interest as well as those of their staff, authors, reviewers and Editorial board members.

WWW.PUBLICATIONETHICS.ORG

C O P E | COMMITTEE ON PUBLICATION ETHICS

<http://publicationethics.org/files/2008%20Code%20of%20Conduct.pdf>



LA IUPI
UPRTP

related to this topic is available on the CSE website.==

2.4.2 Proper Sponsor Conduct and Ethical Practices

Proper sponsor conduct and ethical practices include, but are not limited to:

- Not unduly influencing authors regarding the selection or interpretation of results and/or the formulation of conclusions
- Using publications (manuscripts, abstracts, posters) to communicate scientific data and observations, and balanced scientific interpretation and discussion thereof
- Not engaging in or supporting guest and ghost authorship
- Disclosing all financial and nonfinancial relationships that may possibly influence professional judgment of a manuscript or other scientific presentation
- Allowing the authors to decide where to submit a manuscript
- Not pressuring reviewers to favorably assess manuscripts supporting a sponsor's product or device
- Providing all data or materials to the authors and investigators in a timely manner as requested or disclose if the sponsor decides not to make all data available to the authors and investigators
- Registering clinical trials as demanded by law

2.4.3 Concluding Remarks

Sponsor misconduct or engagement in unethical practices may be grounds for a journal correction or retraction if such actions are deemed appropriate by the

CSE

<http://www.councilscienceeditors.org/resource-library/editorial-policies/white-paper-on-publication-ethics/2-4-sponsor-roles-and-responsibilities/>



Recomendaciones a los editores

- Incluya una página llamada Ética en la publicación
- Establezca que su propósito es educar, prevenir y sancionar
- Presente aquellos aspectos que la junta editorial considera indispensables
- Resalte:
 - Que la publicación total o parcial de trabajos es plagio lo cual es ilegal y conlleva multa
 - La fabricación de datos es fraude
 - La alteración de datos es deshonesto
 - La publicación debe ser original e inédita
 - Recomiende prudencia al citar artículos de la misma revista



Responsabilidad del editor

- Declárela:
 - Una revisión confidencial, experta y crítica de los trabajos por parte de los evaluadores
 - La revisión de trabajos en su calidad científica por parte de los evaluadores de la revista
 - El anonimato de los evaluadores así como su compromiso de no divulgar lo evaluado
 - Mencione que si la evaluación la hacen dos evaluadores es posible la consulta a un tercero en caso de opiniones contrarias



Autoría en publicaciones

- Orientar sobre
 - Quien adquiere fondos no es autor de una publicación
 - Quien supervisa una investigación tampoco es autor
 - Quien participa en la recolección de datos, su procesamiento o tomando muestras tampoco
 - Consignar a personas como autores porque son jefes
 - Debe orientar a los autores a consignar esas participaciones en la sección de agradecimientos
 - Debe mencionar que no es ético invitar investigadores para aumentar la posibilidad de publicación
 - No se apoya el intercambio de autorías para proyectar una mayor producción
 - No se apoya la negación de autoría a escritores de artículos
 - No es correcto adjudicar autoría sin que la persona esté enterada



Posturas

- Respecto a conflictos de interés que pudieran afectar las decisiones sobre un artículo (opinión o interés financiero)
- Ante posibles publicaciones duplicadas
- Publicación de correcciones o retractos



Ejemplos

<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1497700/pdf/15842123.pdf>

<https://www.youtube.com/watch?v=ShJTclITna0>



Enlaces de interés

http://revistas.csic.es/public/guia_buenas_practicas_CSIC.pdf

<http://www.apei.es/wp-content/uploads/2013/11/InformeAPEI-Publicacionescientificas.pdf>

<https://www.youtube.com/watch?v=gkjCpmdz3bA>

<https://www.youtube.com/watch?v=RBRdQc9zKqo>

<https://www.youtube.com/watch?v=QxpH9wBt0fM>

<https://www.youtube.com/watch?v=U5VI3ORH5ME>

<https://www.youtube.com/watch?v=4ng-fUHgfTU>



Bibliografía

- Baiget, T., & Torres-Salinas, D. (2013). Informe APEI sobre Publicación en revistas científicas, 97. <http://doi.org/10.9999/999999999999>
- Cope. (1999). COPE Code of Conduct. Cope. Retrieved from [http://publicationethics.org/files/2008 Code of Conduct.pdf](http://publicationethics.org/files/2008_Code_of_Conduct.pdf)
- Delgado López-Cózar, E. (2001). Las revistas españolas de ciencias de la documentación: productos manifiestamente mejorables. (Spanish). *El Profesional de La Información*, 10(12), p46. Retrieved from <http://search.ebscohost.com/login.aspx?direct=true&db=lih&AN=6423024>
- International Ethical Principles for Scholarly Publication. (n.d.). Retrieved from http://www.stm-assoc.org/2013_05_21_STM_Ethical_Principles_for_Scholarly_Publication.pdf
- Scott-Lichter, D. (2009). CSE's White paper on promoting integrity in scientific journal publications. *CSE's White Paper on Promoting Integrity in Scientific Journal Publications*.
- Strange, K. (2008). Authorship: why not just toss a coin? *American Journal of Physiology – Cell Physiology*, 295(3), C567–C575. <http://doi.org/10.1152/ajpcell.00208.2008>
- Tur-Viñes, V., Fonseca-Mora, M.-C., & Gutiérrez-San-Miguel, B. (2012). Ética De La Publicación Científica: Iniciativas Y Recomendaciones. *El Profesional de La Información*, 21(5), 491–497. <http://doi.org/10.3145/epi.2012.sep.07>



Correos de contacto:

snejanka.penkova@upr.edu
puracenteno@gmail.com



UPR LA IUPI