

**CINE FORO:**  
**The Stanford Prison  
Experiment**

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12 de diciembre de 2018

Decanato de Estudios Graduados e Investigación  
Universidad de Puerto Rico, Recinto de Río Piedras

**La integridad y la conducta responsable en la investigación comprende el conocimiento y atención en varias áreas:**



# Principios Éticos: Informe Belmont

## **Respeto por las personas**

- Autonomía individual
- Protección a las personas con limitada autonomía

## **Beneficencia**

- No hacer daño.
- Maximizar los beneficios y minimizar los daños

## **Justicia**

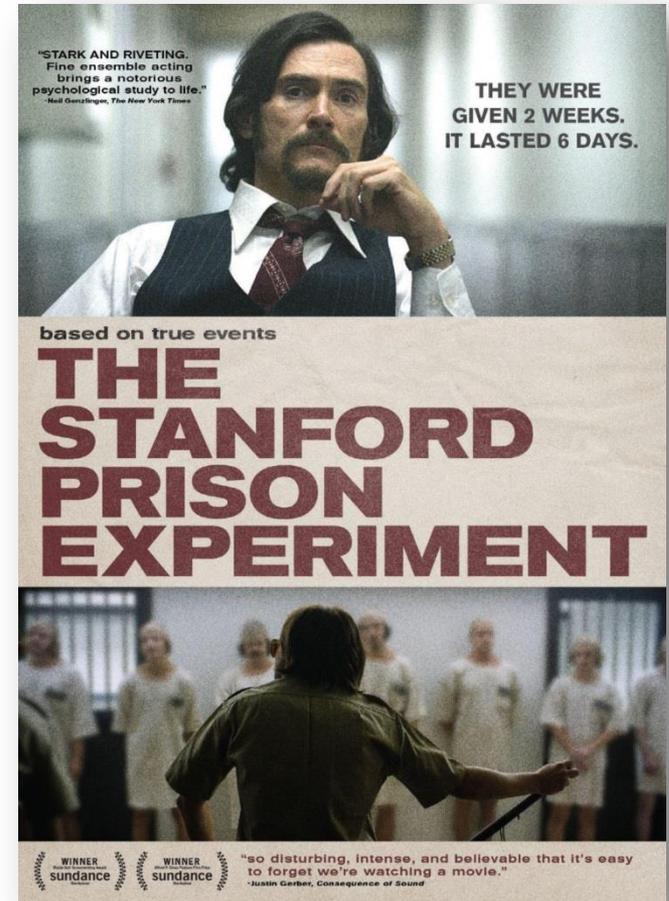
- Distribución equitativa de los riesgos y beneficios de la investigación entre los voluntarios y la población a beneficiarse de los resultados.

# Principios éticos: Declaración Bioética y Derechos Humanos de la UNESCO

- ✓ Dignidad humana y derechos humanos
- ✓ Beneficios y efectos nocivos
- ✓ Autonomía y responsabilidad individual
- ✓ Consentimiento
- ✓ Personas carentes de la capacidad de dar su consentimiento
- ✓ Respeto de la vulnerabilidad humana y la integridad personal
- ✓ Privacidad y confidencialidad
- ✓ Igualdad, justicia y equidad
- ✓ No discriminación y no estigmatización
- ✓ Respeto de la diversidad cultural y del pluralismo
- ✓ Solidaridad y cooperación
- ✓ Responsabilidad social y salud
- ✓ Aprovechamiento compartido de los beneficios
- ✓ Protección de las generaciones futuras
- ✓ Protección del medio ambiente, la biosfera y la biodiversidad

# Ficha técnica de la película

- País: Estados Unidos
- Año: 2015
- Director: Kyle Patrick Alvarez
- Música: Charles Bernstein
- Guión: Tim Talbott, Philip Zimbardo (libro)
- Intérpretes: [Billy Crudup](#), [Michael Angarano](#), [Moises Arias](#)
- Duración: 122 min
- Género: drama/ histórico



# Estudio de la cárcel de Stanford

- Investigador: Philip Zimbardo
- Propósito: entender el proceso de identificación de roles y del desarrollo de las normas y expectativas sociales en un ambiente carcelario simulado.
- Año del estudio: 1971
- Sujetos: 24 hombres universitarios de clase media
- Financiamiento: Marina de los Estados Unidos

# Protocolo

HUMAN SUBJECTS RESEARCH REVIEW COMMITTEE  
(NON-MEDICAL)

Application for Institutional Approval of Research Involving Human Subjects July 31, 1971  
DATE

Philip G. Zimbardo, Professor  
PRINCIPAL INVESTIGATOR (name & title) Psychology DEPARTMENT

*Philip G. Zimbardo*  
SIGNATURE  New  Renewal  Student Research

Role-Playing in a Simulated Prison  
PROJECT TITLE

IF SUPPORTED: Contract  OR  GRANT  AGENCY  NUMBER  PERIOD  NS00014-07-A-0112-0041

grant, contract, training grant) Individual and Group Variables Influencing Social Action.

PLEASE ANSWER ALL QUESTIONS USE ADDITIONAL PAGES AS NECESSARY

I. PROCEDURE  
Please describe those research procedures affecting the subject, as they are to be experienced by the subject. Please be concise, but clear and graphic.  
A simulated prison will be set up in the basement of Jordan Hall for about one week this summer. Student volunteers will be randomly assigned to be either guards who work an 8-hour shift or prisoners who live in for the duration of the study. There will be no other experimental variations. The study is an observational one of the development of norms, rules and expectations as a consequence of assignment of a label to the individual. Prisoners will have work assignments (e.g., cleaning the area), chance to study, some recreation (movies). They will however be led to believe that they cannot leave, except for emergency reasons. Medical staff will be available to assess any request to terminate participation.

II. INFORMED CONSENT  
It is of major importance that voluntary informed consent for the subject to participate in the research be obtained. If the subject may not legally give consent himself, informed consent must be obtained from the parents or guardian.  
A. Please explain the methods of recruitment and obtaining of informed consent.  
1) Paid volunteers recruited from AD in Stanford Daily.  
2) See attached Information Form given to all volunteers.  
B. Is a risk of physical, emotional or other harm to the subject involved? yes  no  If yes, written consent must be obtained and held on record. Please attach a copy of the consent form to be used.  
There may be some potential emotional stress created by this temporary loss of freedom among the prisoners and by the need for surveillance and control among the guards. We will maintain careful observation of both groups, with professional staff living in adjacent to the experimental "prison cells." Subjects will be notified of the potential stressfulness of the experience and during a preliminary interview will be encouraged not to participate if they are at all anxious about.

III. EFFECTS  
A. Is deception to be used at any point? yes   
If yes, please complete 1-3  no

1. Please describe the deception.

2. Does deception affect the informed consent? yes   
If yes, please explain. no

3. Please describe the debriefing procedures. If none, please explain the lack.

B. Will physical activity be required of the subjects? yes   
If yes, please describe. no   
Exercise, cleaning their rooms and the halls, number counts.

C. Will the subject be submitted to humiliation, harassment, irritation, or public or private embarrassment yes  no  If yes, please explain why this is necessary and describe debriefing or other procedures for offsetting any negative effects of such experience.  
Prison subjects' privacy will be minimized. Prison subjects will have to wear prison uniform. Prison subjects will have to follow orders and rules. Prison subjects will be discouraged from quitting. These negative effects are among the major anticipated side effects of being in a prison, and form an essential possible outcome of this study.  
D. Will personality inventories, tests or questionnaires which produce scores on so-called "personality variables" be used? yes  no  perhaps  If yes, please describe why these will be used, and the manner of testing. Please indicate whether such scores or their indications will be made known to the subject, and if so, what explanations or accompanying information will be given him.

We probably will use personality inventories in subject selection (to exclude extreme responders and deviants—since we want the guards and prisoners to be as homogeneous as possible initially).

We may want to retest at the end of the study to note any transient changes in self-reports.  
(continued on supplemental page)

IV. CONFIDENTIALITY  
Please explain how confidentiality and privacy of results will be preserved, especially with regard to III, D.  
All information gathered on tests or interviews will be coded, with a master list available only to the principal investigators. Release forms for any film footage will be obtained from each subject before such films are shown.

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Project Title: Role-Playing in a Simulated Prison  
page 3

HUMAN SUBJECTS RESEARCH REVIEW COMMITTEE (NON-MEDICAL) supplemental sheet

II. INFORMED CONSENT  
B. (continued)  
any negative reactions which could emerge. A background questionnaire (enclosed) will help us screen out any volunteer with a history of emotional disturbance. In addition each volunteer will be interviewed by a psychologist and the taped interview will be available for analysis. A copy of the subject consent form is also enclosed.

III. EFFECTS  
D. (continued)  
Subjects will be given all the information we have available at the end of the study—personal information to be provided in confidential sessions; general information in group sessions.

*Philip G. Zimbardo*  
Philip G. Zimbardo  
Professor of Psychology  
Stanford University  
July 31, 1971

<http://pdf.prisonexp.org/humansubjects.pdf>



## ***Has Professor Zimbardo ever apologized for the suffering that occurred?***

**A:** Yes. In his book *The Lucifer Effect*, Professor Zimbardo wrote: "I was guilty of the sin of omission -- the evil of inaction -- of not providing adequate oversight and surveillance when it was required... the findings came at the expense of human suffering. I am sorry for that and to this day apologize for contributing to this inhumanity." (pp. 181, 235)

<https://www.prisonexp.org/faq/#ethics>

# Información adicional sobre el experimento:

- Official Stanford Prison Experiment website  
<http://www.prisonexp.org/>
- The Stanford Prison Experiment: 40 Years Late  
<http://library.stanford.edu/spc/exhibitspublications/past-exhibits/stanford-prison-experiment-40-years-later>
- REDES (54). La pendiente resbaladiza de la maldad  
<https://www.youtube.com/watch?v=9rQcxtskG0o>

# CITI PROGRAM

<https://www.citiprogram.org>



- **Puede accederse en computadoras, tabletas y teléfonos inteligentes.**
- Registro bajo "Universidad de Puerto Rico – Recinto de Río Piedras"

# Ciclo de adiestramientos CEA

## Investigación con seres humanos

- Centro para la Excelencia Académica:
  - <http://cea.uprrp.edu/>
- Talleres:
  - Investigación con seres humanos
  - Consentimiento informado
  - Privacidad y confidencialidad
  - Conflicto de interés
  - Investigación con estudiantes o contexto académico
  - Investigaciones por Internet
  - Cine Foros

**Calendario de talleres**

# Información de contacto

Dirección física:	Hogar Masónico 2 <sup>do</sup> Piso
Dirección postal:	18 Ave. Universidad STE 1801 San Juan, PR 00925-2512
Teléfono:	787-764-0000 Exts. 86773, 86700
Fax:	787-763-6011
Correo electrónico:	<u><a href="mailto:cipshi.degi@upr.edu">cipshi.degi@upr.edu</a></u>
Página electrónica:	<u><a href="http://graduados.uprrp.edu/cipshi">http://graduados.uprrp.edu/cipshi</a></u>